

## SYLLABUS

# Global Diplomacy: Theory and Practice

Professor: Thierry Balzacq, Elise Rousseau

Session: July 2022

Language of instruction: English

Number of hours of class: 36

### Objective of the Course

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By the end of the course, students should be able to:

- Understand and define main concepts of diplomacy
- Navigate the central intellectual traditions of diplomatic studies
- Identify and characterize issues amenable to a diplomatic treatment
- Apply diplomatic concepts and methods to a broad range of issues, and assess their relevance
- Develop an independent and critical approach to contemporary diplomatic challenges

### Summary

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Diplomacy is one of the oldest institutions of world politics. Its study is on the rise, but the contours of diplomacy have considerably expanded, which raises questions about its meaning. This course investigates the discourses, theories and practices associated with diplomacy, across different levels and within a wide range of areas. It equips you with the basic vocabulary of diplomacy and provides you with clear applications of its concepts to a wide range of subjects. Questions of central interest to the course are: What is diplomacy and where does it come from? What difference (if any) is there between state and non-state diplomacies? How is state diplomacy produced? What instruments do actors employ to achieve their diplomatic objectives? Why do International Organizations recruit “ambassadorial celebrities”? How do new technologies of information and communication influence classical tools of diplomacy? What are the characteristics of diplomacy in different areas (e.g., defense, culture, humanitarian and economics)?

### Organization of the Course

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#### Class topics

1. Diplomacy across history
2. The canon of diplomatic political thought
3. Ministries of foreign affairs
4. The diplomat’s logics of action
5. Bilateral schemes of diplomacy
6. Multilateral diplomacy
7. Rituals and diplomatic protocol
8. Public diplomacy and strategy
9. Mediation and negotiation

10. Simulation game
11. Non-states actors and new forms of diplomacy (e.g., humanitarian diplomacy)
12. Defense diplomacy
13. Economic diplomacy
14. Diplomatic Incidents and Crises

This course is built upon two interdependent pillars, namely lectures and seminars. Both require an extensive participation of students. To that effect, essential readings must be undertaken ahead of the class session, so that discussions are focused, intense and productive for the group. By the same token, class attendance is compulsory.

Essential readings will be available two weeks before the course starts. A shared google drive will allow students to download the readings.

### Requirements for Course Validation

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The course is assessed in three ways:

- Class participation, including engagement with readings (30%)
- Formative exam (20%)
- Final written exam (50%)

Class participation allows the teacher to gauge students' understanding of the texts and topic covered. Students are assessed on both their ability to describe the content of the text and their critical discussion of the main arguments brought out by the reading.

The formative assessment is a three-hour session; it allows me to monitor your learning and help you prepare for the final exam. Students will address three questions. A first question tests their ability to mobilize concepts studied in the previous sessions and two further questions assess students' appropriation of required readings. I will provide detailed collective feedback after the exam.

The final written exam consists of 3 questions. These questions will follow the format tested during the formative assessment.

Additional instructions regarding these different components will be presented and discussed during the first session. The teacher will answer students' questions

### Bibliography

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#### General Bibliography

Balzacq, Thierry, Frédéric Charillon and Frédéric Ramel (eds.), *Global Diplomacy: An Introduction to Theory and Practice* (Basingstoke: Palgrave Macmillan, 2020).

Berridge, G. R., Maurice Keens-Soper and T. G. Otte, *Diplomatic Theory from Machiavelli to Kissinger* (Basingstoke: Palgrave Macmillan, 2001).

Cooper, Andrew F., Jorge Heine and Ramesh Thakur (eds.), *The Oxford Handbook of Modern Diplomacy* (Oxford: Oxford University Press, 2013).

Kerr, Pauline and Geoffrey Wiseman, *Diplomacy in a Globalizing World: Theories and Practices* (New York: Oxford University Press, 2017).

Spies, Yolanda Kemp, *Global Diplomacy and International Society* (London: Palgrave Macmillan, 2019).

## **Class Topic 1 – Diplomacy Across History**

### *Recommended*

Campbell Brian, “Diplomacy in the Roman World (c. 400 BC-AD 235),” *Diplomacy & Statecraft*, 12 (1), 2001: 1-22.

Cohen Raymond, “The Great Tradition: The Spread of Diplomacy in the Ancient World,” *Diplomacy & Statecraft*, 1 (1), 2001: 23-38.

Der Derian James, “Mediating Estrangement: A Theory of Diplomacy,” *Review of International Studies*, 13 (2), 1987: 91-110.

Sharp Paul, *Diplomatic Theory of International Relations* (Cambridge: Cambridge University Press, 2009), 75-110.

Zinnini Andrea, “Economic and Social Aspects of the Crisis of Venetian Diplomacy in the Seventeenth and Eighteenth Centuries,” in Daniela Frigo (ed.), *Politics and Diplomacy in Early Modern Italy: The Structure of Diplomatic Practice, 1450-1800* (Cambridge: Cambridge University Press, 2011), pp. 109-146.

## **Class Topic 2 – The Canon of Diplomatic Political Thought**

### **Required Readings**

Berridge G. R., “Richelieu,” in G. R. Berridge, Maurice Keens-Soper and T. G. Otte (eds.), *Diplomatic Theory from Machiavelli to Kissinger* (London: Palgrave, 2001), pp. 71-87.

Otte T. G., “Satow”, in G. R. Berridge, Maurice Keens-Soper and T. G. Otte (eds.), *Diplomatic Theory from Machiavelli to Kissinger* (London: Palgrave, 2001), pp. 125-145.

### *Recommended*

Keens-Soper Maurice, “François De Callières and Diplomatic Theory,” *The Historical Journal* 16(3), 1973: 485-508

Keens-Soper Maurice, “Wicquefort,” in in G. R. Berridge, Maurice Keens-Soper and T. G. Otte (eds.), *Diplomatic Theory from Machiavelli to Kissinger* (London: Palgrave, 2001), 88-105.

Otte T. G., “Satow,” in G. R. Berridge, Maurice Keens-Soper and T. G. Otte (eds.), *Diplomatic Theory from Machiavelli to Kissinger* (London: Palgrave, 2001), pp. 125-150.

Otte, T. G., “Nicolson,” in G. R. Berridge, Maurice Keens-Soper and T. G. Otte (eds.), *Diplomatic Theory from Machiavelli to Kissinger* (London: Palgrave, 2001), pp. 151-180.

## **Class Topic 3 – Ministries of Foreign Affairs**

### **Required Reading**

Moses W. Jonathon and Knutsen Tobjorn, "Inside Out: Globalization and the Reorganization of Ministries of Foreign Affairs", *Cooperation and Conflict*, 36(4), 2001: 355-380.

*Recommended*

Balzacq Thierry, "The Site of Foreign Policy: A Field Theory Account of Ministries of Foreign Affairs," *The Hague Journal of Diplomacy*, forthcoming.

Hocking Brian, "Gatekeepers and Boundaries Spanners: Thinking about Foreign Ministries in the European Union," in Brian Hocking, David Spence (eds.), *Foreign Ministries in the European Union: Integrating Diplomats* (New York: Palgrave Macmillan, 2005), 1-17.

Neumann Iver B., *At Home with the Diplomats: Inside a European Foreign Ministry* (Ithaca, NY: Cornell University Press, 2012).

"Ministries of Foreign Affairs," Special Issue, *The Hague Journal of Diplomacy*, forthcoming, 2020.

#### **Class Topic 4 - The diplomat's Logics of Action**

##### **Required Reading**

Cornut Jérémy, "To be a Diplomat Abroad: Diplomatic Practice at Embassies," *Cooperation and Conflict*, 50(3), 2015: 385-401.

*Recommended*

Barber Brian, *What Diplomats Do: The Life and Work of Diplomats* (Lanham, MD: Rowman & Littlefield, 2016): 1-60, 121-120, 211-226.

Cowper-Coles Sherard, *Ever the Diplomat: Confessions of a Foreign Office Mandarin* (London: Harper Press, 2012).

Hamilton Keith, Richard Langhorne, *The Practice of Diplomacy: Its Evolution, Theory and Administration* (London: Routledge, 2010): 37-60.

Hill Christopher R., *Outpost: A Diplomat at Work* (New York: Simon & Shuster, 2015).

Novakovic Marco, *Diplomatic Immunity: Evolution and Recent Country Development* (Basingstoke: Palgrave Macmillan, 2020).

#### **Class Topic 5 - Bilateral Schemes of Diplomacy**

##### **Required Reading**

Digester, P. E., *Friendship Reconsidered* (New York: Columbia University Press, 2016), 217-234.

*Recommended*

Azar Shirzad, *Iran and China: A New Approach to their Bilateral Relations* (Lanham: Lexington, 2020), 1-17, 75-94.

Cornago Noe, "Diplomacy Decentralized: Latin America Substate Couples," in Brigitte Vassort-Rousset (ed.), *Building Sustainable International Couples in International Relations: A Strategy Towards Peaceful Cooperation* (London: Palgrave Macmillan, 2014), 25-45.

Hemple T. J., "Challenges to Bilateralism: Changing Foes, Capital Flows, and Complex Forums," in Krauss Ellis S., T. J. Hempel (eds.), *Beyond Bilateralism: U.S.-Japan Relations in the New East Asia* (Palo Alto, CA: Stanford University Press, 2004), 1-37.

Krotz Ulrich, *Shaping Europe: France, Germany and Embedded Bilateralism from Elysee Treaty to Twenty-First Century Politics* (Oxford: Oxford University Press, 2012), 19-49, 75-96.

Roshchin Evgeny, *Friendship among Nations: History of a Concept* (Manchester: Manchester University Press, 2017), 142-176.

### **Class Topic 6 – Multilateral Diplomacy**

#### **Required Reading**

Cronberg Tarja, *Nuclear Multilateralism and Iran* (London: Routledge, 2017), pp. 1-3, 33-52.

#### *Recommended*

Death Carl, "Summit Theatre: Exemplary Governmentality and Environmental Diplomacy in Johannesburg and Copenhagen," *Environmental Politics*, 20 (1), 2011: 1-19.

Ruggie John G., "Multilateralism: The Anatomy of an Institution," *International Organization*, 46 (3), 2000: 561-598.

Wiseman Geoffrey, "Diplomatic Practices at the United Nations," *Cooperation and Conflict*, 50 (3), 2015: 316-333.

### **Class Topic 7 – Rituals and Diplomatic Protocol**

#### **Required Reading**

Turton Andrew, "Ethnography of Embassy: Anthropological Readings of Records of Diplomatic Encounters between Britain and Tai States in the Early Nineteenth Century," *South East Asia Research*, 5(2), 1997, 175-205.

#### *Recommended*

Balzacq Thierry "Rituals and Diplomacy," in Thierry Balzacq, Frédéric Charillon, Frédéric Ramel (eds.), *Global Diplomacy: An Introduction to Theory and Practice* (London: Palgrave Macmillan, 2020): 111-124.

Jönsson Christer, Hall Martin, "Communication: An Essential Aspect of Diplomacy," *International Perspectives*, 4(2), 2003: 195-210.

Roosen William, "Early Modern Diplomatic Ceremonial: A System Approach," *The Journal of Modern History*, 52 (3), 1980: 452-476.

Sharlach T. M., "Diplomacy and the Rituals of Politics at the Ur III Court", *Journal of Cuneiform Politics*, 57, 2005: 17-29.

Schiffirin Deborah, "Handwork as Ceremony: The Case of the Handshake," *Semiotica*, 12 (3), 1998: 189-202.



## Class Topic 8 – Public Diplomacy and Strategy

### Required Reading

Bjola Corneliu, J. Cassidy and I. Manor (eds.), “Public Diplomacy in the Digital Age,” *The Hague Journal of Diplomacy*, 14 (1-2), 2019: 83-101.

### Recommended

Anholt Simon, “Beyond the Nation Brand: The Role of Image and Identity in International Relations,” *Exchange: The Journal of Public Diplomacy*, 2 (1), 2013: 6-12.

Gilboa Eytan, “Searching for a Theory of Public Diplomacy,” *The Annals of the American Academy of Political and Social Science*, 616 (1), 2008: 55-77.

Goff Patricia, “Cultural Diplomacy,” in Andrew F. Cooper, Jorge Heine, Ramesh Thakur (eds.), *The Oxford Handbook of Modern Diplomacy* (Oxford: Oxford University Press, 2013), pp. 419-435.

Snow Nancy, “Rethinking Public Diplomacy,” in Nancy Snow and Philip M. Taylor (eds.), *Routledge Handbook of Public Diplomacy* (London: Routledge, 2009), pp. 3-11.

## Class Topic 9 – Mediation and Negotiation

### Required Reading

Zartman I. William, “Conflict and Order: Justice in Negotiation”, *International Political Science Review*, 18 (2), 1997: 121-138.

### Recommended

Bercovitch Jacob, Richard Jackson, “Mediation and International Conflict Resolution,” in Jacob Bercovitch, Richard Jackson, *Conflict Resolution in the Twenty-First Century: Principles, Methods, and Approaches* (Ann Arbor: University of Michigan Press, 2009): 32-46.

Rosoux Valérie, “Negotiating on Behalf of Previous Generations: Justice in Post-Conflict Contexts,” *International Negotiation*, 25 (1), 2020: 93-108.

Salem Paul E. “A Critique of Western Conflict Resolution from a Non-Western Perspective,” *Negotiation Journal*, 9, 1993: 361-369.

Zartman I. William and Kremenyuk Viktor, *Peace versus Justice. Negotiating Forward- and Backward-Looking Outcomes* (Lanham: Rowman and Littlefield, 2005).

### **Class Topic 10 – Simulation Game**

*The topic and specific guidelines will be available a day before the course.*

### **Class Topic 11 – Non-state Actors and New Forms of Diplomacy**

#### **Required Readings**

Kuyper Jonathan W., Karin Backstrand, “Accountability and Representation: Non-State Actors in UN Climate Diplomacy,” *Global Environmental Politics*, 16 (2), 2016: 61-81.

Kogen Lauren, “For the Public Good or Just Good Publicity? Celebrity Diplomacy and the Ethics of Representation,” *Mass Communication and Society*, 18 (2015): 37-57.

#### *Recommended*

Duchacek Ivo D., “Perforated Sovereignties: Towards a Typology of New Actors in International Relations,” in Hans J. Michelmanns, Panayotis Soldatos (eds.), *Federalism and International Relations: The Role of Subnational Units* (Oxford: Oxford University Press, 1990): 1-33.

Barnett Michael N., “Humanitarian Governance,” *The Annual Review of Political Science*, 16, 2013: 379-398.

Cooper Andrew F., Brian Hocking, “Governments, Non-Governmental Organisations and the Re-calibration of Diplomacy,” *Global Society*, 14 (3), 2000: 361-376.

Fassin Didier, “Heart of Humaneness: The Moral Economy of Humanitarian Intervention,” in Didier Fassin, Mariella Pandolfi (eds.), *Contemporary States of Emergency: The Politics of Military and Humanitarian Interventions* (New York: Zone Books, 2013): 269-293.

Minear Larry, Hazel Smith (eds.), *Humanitarian Diplomacy: Practitioners and their Craft* (New York: United Nations University Press, 2007).

### **Class Topic 12 – Defense Diplomacy**

#### **Required Reading**

Du Plessis Anton. “Defence Diplomacy: Conceptual and Practical Dimensions with Specific Reference to South Africa,” *Strategic Review for Southern Africa*, 30 (2), 2008: 87-119.

#### *Recommended*

Atkinson Carol, *Military Soft Power: Public Diplomacy through Military Educational Exchanges* (New York: Rowman & Littlefield, 2014).

Cottey Andrew, Anthony Forster, “Reshaping Defence Diplomacy: New Roles for Military Cooperation and Assistance,” *Adelphi Papers*, 44 (365), 2004: 1-84.

Hills Alice, “Defence Diplomacy and Security Sector Reform,” *Contemporary Security Policy*, 21 (1), 2000: 46-67.



Sullivan Patricia L., Brock F. Tesson, Xiaojun Li, "US military Aid and Efficient State Cooperation," *Foreign Policy Analysis*, 7 (3), 2011: 275-294.

### **Class Topic 13 – Economic Diplomacy**

#### **Required Reading**

Lee, Donna and David Hudson, "The Old and New Significance of Political Economy in Diplomacy," *Review of International Studies*, 30 (3), 2004: 343-360.

#### *Recommended*

Naray Olivier, "Commercial Diplomacy: An Integrative Framework," *International Journal of Diplomacy and Economy*, 1 (2), 2012: 119-33.

Heron, Tony, "European Trade Diplomacy and the Politics of Global Development: Reflections on the EU-China 'Bra Wars' Dispute," *Government and Opposition*, 42 (2), 2007: 190-214.

Rosenberg Emily, *Financial Missionaries to the World: The Politics and Culture of Dollar Diplomacy (1900-1930)* (Durham: Duke University Press, 2003).

Strange Susan, "States, Firms and Diplomacy," *International Affairs*, 68 (1), 1995: 1-15.

### **Class Topic 13 - Crisis Diplomacy and Diplomatic Incidents**

#### **Required Reading**

Baele Stéphane J. and Elise Rousseau, "'Filthy Lapdogs,' 'Jerks,' and 'Hitler': Making Sense of Insults in International Relations", *International Studies Review*, 23(3), 2021: 532-555.

#### *Recommended*

Carnago Noé, "Beyond the Media Event: Modes of Existence of the Diplomatic Incidents," *Place Branding and Public Diplomacy*, 14(1), 2018: 64-73.

Constantinou, Costas M., "In Pursuit of Crisis Diplomacy," *The Hague Journal of Diplomacy*, 10(1), 2015: 29-34.

Kurizaki Shuhei, "Efficient Secrecy: Public versus Private Threats in Crisis Diplomacy," *American Political Science Review*, 101(3), 2007: 543-558.

#### **Additional Resources**

- DiploFoundation [<https://www.diplomacy.edu/>]
- *Diplomatic History* [<https://academic.oup.com/dh>]
- *Diplomacy & Statecraft* [<https://www.tandfonline.com/toc/fdps20/current>]
- *Foreign Affairs* [<https://www.foreignaffairs.com/>]
- *Foreign Policy* [<https://foreignpolicy.com/>]
- *Foreign Policy Analysis* [<https://academic.oup.com/fpa/pages/About>]

- *Politique étrangère* [<https://www.ifri.org/fr/politique-etrangere>]
- *The Hague Journal of Diplomacy* [<https://brill.com/view/journals/hjd/hjd-overview.xml>]
- *The Journal of Diplomacy and International Relations* [<http://blogs.shu.edu/journalofdiplomacy/welcome-to-our-new-website/>]

## Main Professor Biography

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Thierry Balzacq is a Professor of International Relations at Sciences Po and Professorial Fellow at CERI-Sciences Po. A former Visiting Fellow at the Asia-Pacific College of Diplomacy (APCD) - the Australian National University (2017-2018), Balzacq was awarded a Francqui Research Chair (Belgium's highest academic title) at the University of Namur, and elected Visiting Professor at the London School of Economics and Political Science (LSE), in 2016. He was formerly the Scientific Director of the French Ministry of Defense's research center (2014-2016). Balzacq held an Honorary Professorial Fellowship at the University of Edinburgh (2012-2015), where he was also Fellow for "outstanding research" at the Institute for Advanced Studies in the Humanities. He has published over a hundred scientific works in English and French, on Security, IR Theory, and Diplomatic Studies. He has advised different institutions and organizations on security matters, including the EU Commission, the EU Parliament, and the Australian Department of Home Affairs.