

# COMPARATIVE POLITICS

**Prof Laura Morales**

Year 2019/2020  
Autumn semester

## COURSE DESCRIPTION

What is *Comparative Politics*? How do we analyse politics comparatively? How can we make sense of the variation in the ways that political institutions and political authority are organised in contemporary societies? What are the effects of these multiple and different ways of organising politics? How do the main actors, institutions and processes that structure politics change across social and cultural settings? Finally, how does a comparative approach help us understand the contemporary world of politics?

This course builds on the key concepts, theories and approaches introduced in the first-year course *Introduction to Political Science* by providing a more in-depth examination of the key elements that structure contemporary politics. It will focus, primarily, on established (mainly western) democracies but will systematically introduce comparative elements with political systems in all regions of the world (Africa, Asia, Latin America and the Middle East) to provide students with a wider and global perspective of comparative politics and of comparative political analysis.

The course will allow students to acquire the analytical tools to understand and examine politics comparatively and to reflect about it in a sophisticated manner. It will do so by providing students with an overview of the epistemological and methodological issues that are central to the definition of Comparative Politics, as well as of the various approaches that shape our understanding of this field of study within Political Science. The course will stimulate the development of a reflective understanding of politics by focusing on several key overarching themes in the study of Comparative Politics, as well as on a comprehensive overview of contemporary comparative analyses of political institutions, actors and processes.

The course is organized in three different but interconnected parts. The first block (weeks 1-2) introduces the course, its aims and pedagogical format, and discusses the definition of Comparative Politics as a field of study as well as its objects, methods and approaches. The second block (weeks 3-7) looks in depth at five key overarching themes in the comparative study of politics: state formation and development; the organisation of politics beyond the nation-state; political instability, conflict and change; democracy and democratization; and authoritarianism and democratic breakdown. The third block (weeks 8-9) will focus on providing the analytical and conceptual tools that constitute the building blocks of the comparative analysis of political institutions, actors and processes. This section of the course will emphasise the comparative analysis of how interests are formed and articulated, how they are aggregated and represented, and how they are processed in a wide range of political systems across the globe. The course will conclude with a

final lecture illustrating the richness and diversity of approaches and methods employed in comparative political analysis, and how students can gain further insights from exposing themselves to this wealth of perspectives with an open mind.

The 48 hours of lectures and seminars that form this core course in the *Major in Politics and Government* have been designed to offer students a coherent approach in their learning by combining and complementing the lecture materials and readings with the readings and practical cases that will be discussed in the seminar sessions. Both components (lectures and seminars) are an integral part of the course, and experience indicates that only students that attend both the lectures and the seminars are likely to achieve good grades in the course. This entails actively engaging with the lectures and seminars, as well as carefully preparing the readings assigned for both the lectures and the seminars.

**Important note:** The course is designed as a second-level course in Political Science, hence, all students taking this course are expected to have taken a first-level introductory course to Political Science (e.g. Introduction to Political Science, or similar). Students who have never taken a prior course in Political Science should reconsider taking this course in Comparative Politics as they may find it too advanced.

## Type of course

- O Lecture course (24 hours) with seminars (24 hours)

## Language of instruction

- O English

## Pedagogical objectives

At the end of the course, the student is expected to:

- 1) Master the key concepts and analytical tools that are common in the study of Comparative Politics;
- 2) Be well acquainted with the multiple theoretical and analytical approaches that shape Comparative Politics as a field within Political Science;
- 3) Be able to engage with a set of core readings and references in Comparative Politics;
- 4) Demonstrate a solid knowledge of and familiarity with the political systems of a range of key countries across the globe, and the ability to compare them;

5) Possess the analytical skills to examine political institutions, actors and processes across different contexts in a sophisticated and critical manner, employing scientific approaches to the understanding of politics; and

6) Be able to synthesize arguments and evidence while using a range of sources of data and information;

Students will be able to develop these skills and abilities through a multiplicity of learning tools and formats that will include the lecture presentations and discussions, office hours and engagement with the course director, undertaking readings, giving oral presentations, engaging in critical debate around the readings, preparing discussions and assignments around practical cases, writing research papers, and writing short essays and commentary of documents in the exams.

## **PEDAGOGICAL FORMAT**

- 12 **lectures** of 2 hours each taught by Prof Laura Morales on Tuesday 17.00 - 19.00. The lectures will provide an overview of the key concepts and scholarly approaches to the topics covered each week. For each lecture, a recommended preparatory reading is indicated. While not essential to follow the lecture, this reading will help students better understand the material covered by the lecture and will also help them to consolidate their learning when preparing the exams. Hence, reading the recommended preparatory chapter(s) is strongly advised for a successful completion of the course. The additional optional readings specified for each week have been selected to guide students in their process of going into greater depth about the topics covered each week. These additional optional readings will provide students with a more wide-ranging understanding of the core themes and will help them better prepare the research paper, as well as the exams.

- 12 **seminar** sessions of 2 hours each taught by Lennard Alke, Andreas Eisl, Denys Gorbach, Ronan Jacquin, Bilal Hassan, and Foteini Panagiotopoulou. Seminars will consist of a first part with presentations from one or two students depending on the size of the seminar group (up to 15 minutes each) and a discussion of the specific readings assigned for that seminar session focusing on the development of the understanding of the key learnings to extract from the readings. The second part will focus on the targeted discussion of practical cases that aim at developing students' analytical and argumentative abilities, and on the presentation of the work in progress of the research paper that students have to write before the exams. The full programme of the seminar sessions is contained in a separate document that will be made available to students in Moodle and on the first seminar with the seminar instructors.

Office hours: Although lecturers are not required to have office hours in the Sciences Po Paris campus, Prof Morales will be available to all students of the course to discuss any query relating to the lectures or the course overall during her office hour on Wednesday 17.15 - 18.15 at her office (A204, 28 rue des Saints-Pères) on teaching weeks only. No booking is required on this time slot, but please be advised that other students may attend on the same day as you as well and you may have to wait. All students arriving before 18.15 will be attended on the day. For office hours outside this time slot, please set up an appointment by email.

## COURSE GRADE SYSTEM

To validate the course, the student is expected to pass the following assignments:

1) **Continuous assessment:** 67% (2/3) of the mark, which breaks down as follows,

- Oral presentations of the compulsory readings during seminars: one presentation per student throughout the 12 weeks of 15 minutes each maximum: 20% of the mark.
- Intermediate presentations of the preliminary preparations of the research paper: 10% of the mark.
- Active participation in class discussions of readings and practical cases: 4% of the mark
- A written research paper, on a topic of comparative politics selected by each student, of approximately 30,000 characters (approx. 15 pages, double-spaced, TNR 12)<sup>1</sup> and written in English to be delivered before 11 pm on Friday 22nd November 2019 through Urkund: 33% of the mark. (See more details on the Seminar outline.)

2) **Final exam** of up to three hours duration: 33% (1/3) of the mark, which breaks down as follows,

- One long question (choice out of two) to develop reasoned responses and outline arguments (up to a maximum of 6 pages): 25% of the mark.
- Two short questions to assess understanding of key concepts/theories (up to a maximum of 1 page for both): 8% of the mark.

Indicatively, the expected time required to complete each section of the exam is as follows: the long question should not take more than one hour and a half, and the two short questions should not take more than half an hour. Hence, the three hours available for the exam should be more than enough to complete it successfully.

## REQUIRED READING

Reading multiple types of materials is an essential part of this course. You will not get good grades without investing considerable time in reading both the compulsory and the recommended readings.

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<sup>1</sup> Papers that have a character count that exceeds the limit by more than 10% (i.e., papers with more than 33,000 characters) will have the grades reduced in a manner proportional to the character excess.

In order to better follow the lectures, it is recommended that students read the indicated chapters before each lecture. The core textbooks recommended to prepare the course are:

- Kenneth Newton and Jan W. van Deth, *Foundations of Comparative Politics* (3rd edition), Cambridge University Press. <http://catalogue.sciencespo.fr/ark:/46513/sc0001290797>

- Daniele Caramani (coord.), *Comparative Politics* (4th edition), Oxford University Press.  
<http://catalogue.sciencespo.fr/ark:/46513/sc0001301871>

These two textbooks will be referred to in the detailed course outline as KN&JVD and as DC, respectively.

Given that these are textbooks and multiple chapters are recommended, scanned/photocopied copies cannot be made available, so students will need to purchase their own copies or borrow them from the Library if they wish to use them for course preparation. The Library holds several copies of these two books in their latest and earlier editions. Earlier editions are, for the most part, also valid for course preparation if you are not able to buy or borrow the newest editions.

Other key reference books that will be useful for your study and the preparation of your research paper are:

Carles Boix and Susan Stokes (eds.), *The Oxford Handbook of Comparative Politics*, Oxford University Press. Library link to electronic online version: <https://www-oxfordhandbooks-com.acces-distant.sciences-po.fr/view/10.1093/oxfordhb/9780199566020.001.0001/oxfordhb-9780199566020>

R.A.W. Rhodes, Sarah A. Binder, Bert A. Rockman (eds.), *The Oxford Handbook of Political Institutions*, Oxford University Press. Library link to electronic online version: <https://www-oxfordhandbooks-com.acces-distant.sciences-po.fr/view/10.1093/oxfordhb/9780199548460.001.0001/oxfordhb-9780199548460>

Other recommended readings for the preparation of the lectures, as well as the readings for the seminars are specified in the detailed outlines for lectures and seminars, and they will be available on Moodle or are available freely on the internet, in which case URLs are provided in this syllabus or in the seminar outline document.

Besides all these core academic readings, learning about Comparative Politics requires being well informed about political affairs across the world. For this reason, it is highly recommended that you regularly read a weekly political affairs magazine such as *The Economist*, *Mother Jones*, *The Nation*, *Der Spiegel*, *Marianne*, etc. *The Economist* is a particularly useful one as it has a truly global coverage of news every week.

Watching TV shows is oftentimes also an entertaining way of learning about politics, and I can recommend the following ones: *Borgen* (on Danish coalition government politics), *House of Cards* (British version, on British politics), *Yes Minister* and *Yes Prime Minister* (on British politics and the civil service), *the Thick of It* (also on British politics, especially focusin on the relation between politics and media dynamics), *Parks and Recreation* (on US local-level politics), *the West Wing* (on US politics), *Veep* (also on US politics), and *1992* (on Italian politics). Be warned that some of these contain crude scenes of violence and language that some people may find offensive.

## DETAILED OUTLINE

### Block 1: Introduction

#### *Week 1: What is Comparative Politics?*

Key topics:

- Comparative Politics as a field of study
- The objects of study of Comparative Politics
- One or several comparative methods?

Recommended preparatory reading for the lecture of 3<sup>rd</sup> September:

- KN&JVD: Introduction; or
- DC: Introduction to Comparative Politics

Additional (optional) recommended readings to learn more:

- Frenreis, John P. 1983. "Explanation of Variation and Detection of Covariation. The Purpose and Logic of Comparative Analysis." *Comparative Political Studies* 16(2): 255-72.
- Bartolini, Stefano. 1993. "On Time and Comparative Research." *Journal of Theoretical Politics* 5(2): 131-67.
- Sartori, Giovanni. 1970. "Concept Misformation in Comparative Politics." *American Political Science Review* 64 (4): 1033-1053.
- Collier, David, and James Mahoney. 1993. "Conceptual Stretching Revisited: Adapting Categories in Comparative Analysis." *American Political Science Review* 87: 845-55.
- Lichbach, Mark and Alan Zuckerman (eds). 1997. *Comparative Politics: Rationality, Culture and Structure*. Cambridge University Press.
- Lieberson, Stanley. 1991. "Small N's and Big Conclusions: An Examination of the Reasoning in Comparative Studies Based on a Small Number of Cases." *Social Forces* 70(2): 307-20.
- Lijphart, Arend. 1975. "The Comparable-Cases Strategy in Comparative Research." *Comparative Political Studies* 8: 158-77.
- Tilly, Charles. 1984. *Big Structures, Large Processes, Huge Comparisons*. Russell Sage Foundation.
- van Deth, Jan W. (ed.). 1998. *Comparative Politics. The Problem of Equivalence*. London: Routledge, pages 1-19.

#### *Week 2: Approaches to Comparative Politics*

Key topics:

- Old and new institutionalism
- The interests approach
- The ideas approach
- The rational choice approach
- The constructivist approach

Preparatory reading for the lecture of 10<sup>th</sup> September:

- DC: Chapter 2 - Approaches in Comparative Politics (B. Guy Peters)

Additional (optional) recommended readings to learn more:

- Almond, Gabriel A. 1988. "Separate tables: Schools and sects in political science", *PS: Political Science and Politics* 21 (4): 828-842. <https://doi.org/10.2307/420022>
- Apter, David. 1998. "Comparative Politics, Old and New" in Goodin, Robert, & Klingemann, Hans-Dieter (eds.), *New Handbook of Political Science*, Part IV, Oxford University Press. (Online access through Sciences Po Library here: <http://www.oxfordscholarship.com/view/10.1093/0198294719.001.0001/acprof-9780198294719>)
- Laitin, David D. 2002. "Comparative Politics: The State of the Subdiscipline" in Ira Katznelson and Helen V. Milner (eds.), *Political Science: The State of the Discipline*, New York: Norton.

Block 2: Key Overarching Themes in Comparative Politics

**Week 3: State Formation and Development**

Key topics:

- City-states, empires and nation-states
- Nationalism
- Capitalism and the development of the state
- Failed states

Preparatory reading for the lecture of 17<sup>th</sup> September:

- KN&JVD: Chapter 1 - The development of the modern state; or
- DC: Chapter 4 - The nation state (G. Poggi)

Additional (optional) recommended readings to learn more:

- Anderson, Benedict. 1983. *Imagined Communities: Reflections on the Origin and Spread of Nationalism*, Verso.
- Boone, Catherine. 2003. *Political Topographies of the African State*. Cambridge: Cambridge University Press.
- Gellner, Ernest. 1983. *Nations and Nationalism*. Basil Blackwell.
- Laitin, David D. 2007. *Nations, States and Violence*. Oxford University Press.
- Mahoney, James. 2010. *Colonialism and Postcolonial Development*, Cambridge University Press
- Mann, Michael. 1984. "The Autonomous Power of the State", *European Sociology Archives*, <http://www.sscnet.ucla.edu/soc/faculty/mann/Doc1.pdf>
- Olson, Mancur. 1982. *The Rise and Decline of Nations*. New Haven: Yale University Press.
- Rotberg, Robert I. 2003. *When States Fail. Causes and Consequences*. Princeton University Press.

**Week 4: Beyond the State**

Key topics:

- Processes of regionalisation and multilevel governance
- Globalization and transnationalism
- (G)Localism and secessionism

Preparatory reading for the lecture of 24<sup>th</sup> September:

- KN&JVD: Chapters 6 - Multilevel government - and 17 - The future of the democratic state; or
- DC: Section VI - Beyond the Nation-State (chapters 23 – 25)

Additional (optional) recommended readings to learn more:

- Börzel, Tanja and Thomas Risse. 2016. *The Oxford Handbook of Comparative Regionalism*, Oxford University Press. Available online here: <https://www-oxfordhandbooks-com.acces-distant.sciences-po.fr/view/10.1093/oxfordhb/9780199682300.001.0001/oxfordhb-9780199682300>
- Buchanan, Allen. 2017. "Secession and Nationalism" in Robert E. Goodin, Philip Pettit and Thomas Pogge, *A Companion to Contemporary Political Philosophy*, Blackwell.
- Calhoun, Craig. 2008. "Cosmopolitanism and nationalism", *Nations and Nationalism*, 14: 427-448. <https://doi.org/10.1111/j.1469-8129.2008.00359.x>
- Gagnon, Alain-G. 2014. *Minority Nations in the Age of Uncertainty. New Paths to National Emancipation and Empowerment*. University of Toronto Press.
- Held, David, and Anthony McGrew. 2002. *Governing Globalization: Power, Authority and Global Governance*, Polity.
- Keating, Michael. 2016. "Contesting European regions", *Regional Studies*, 51(1): 9-18. <https://doi.org/10.1080/00343404.2016.1227777>
- North, Douglas. 1990. *Institutions, institutional change and economic performance*, Cambridge University Press.
- Pavkovic, Aleksandar and Peter Radan. 2007. *Creating New States. Theory and Practice of Secession*, Routledge.
- Rundra, Nita and Stephen Haggard. 2005. "Globalization, Democracy, and Effective Welfare Spending in the Developing World", *Comparative Political Studies*, 38(9): 1015-1049. <https://doi.org/10.1177/0010414005279258>
- Wimmer, Andreas, and Nina Glick-Schiller. 2002. "Methodological nationalism and beyond: nation–state building, migration and the social sciences." *Global Networks*, 2: 301-334. <https://doi.org/10.1111/1471-0374.00043>

#### Week 5: Political Instability, Conflict and Change

Key topics:

- Developmental approaches to political change and to democratization
- Contentious politics and collective violence
- Revolutions
- Civil wars
- Terrorism

Preparatory reading for the lecture of 1<sup>st</sup> October:

- Tilly, Charles. 2006. "Why and How History Matters" in Robert E. Goodin and Charles Tilly (eds.), *The Oxford Handbook of Contextual Political Analysis*, p. 417-437. <https://doi.org/10.1093/oxfordhb/9780199270439.003.0022>
- Tarrow, Sidney. 2015. "Contentious Politics" in Donatella della Porta and Mario Diani (eds.), *The Oxford Handbook of Social Movements*, p. 86-107. <https://doi.org/10.1093/oxfordhb/9780199678402.013.8>

Additional (optional) recommended readings to learn more:

- Arendt, Hannah. 1973. *The Origins of Totalitarianism*. Harcourt, Brace, Jovanovich.
- Beissinger, Mark. 2002. *Nationalist Mobilization and the Collapse of the Soviet State*. Cambridge: Cambridge University Press.
- Boix, Carles. 2008. "Economic Roots of Civil Wars and Revolutions in the Contemporary World," *World Politics* 60(3): 390-437. <https://doi.org/10.1017/S0043887100009047>



- Della Porta, Donatella. 1995. *Social Movements, political violence and the state. A comparative analysis of Italy and Germany*, Cambridge University Press.
- Fearon, James and David Laitin. 2003. "Ethnicity, Insurgency, and Civil War," *American Political Science Review* 97(1): 75-90. <https://doi.org/10.1017/S0003055403000534>
- Goldstone, Jack A. (ed.). 1994. *Revolutions: Theoretical, Comparative, and Historical Studies*. 2nd ed. Harcourt Brace College Publishers.
- Gurr, Ted. *Why Men Rebel*. Princeton University Press, 1970.
- Hobsbawm, Eric. 2017. *Primitive Rebels. Studies in Archaic Forms of Social Movement in the 19th and 20th Centuries*. London: Abacus. Original edition, 1959.
- Huntington, Samuel. 2006. *Political Order in Changing Societies*. Yale University Press. [https://projects.iq.harvard.edu/gov2126/files/huntington\\_political\\_order\\_changing\\_soc.pdf](https://projects.iq.harvard.edu/gov2126/files/huntington_political_order_changing_soc.pdf)
- Inglehart, Ronald. 1997. *Modernization and Postmodernization*. Princeton University Press.
- Kalyvas, Stathis. 2006. *The Logic of Violence in Civil War*, Cambridge University Press.
- Kuran, Timur. 1991. "Now Out of Never: The Element of Surprise in the East European Revolution of 1989." *World Politics* 44 (1): 7-48. <https://doi.org/10.2307/2010422>
- Mannheim, Karl. 1936. *Ideology and Utopia*. Harcourt, Brace.
- Marx, Karl. 2013. *The 18th Brumaire of Louis Bonaparte*. Online, Gutenberg Project: Gutenberg project. Original edition, 1852. <https://www.gutenberg.org/files/1346/1346-h/1346-h.htm>
- McAdam, Doug, Sidney Tarrow, and Charles Tilly. 2001. *Dynamics of Contention*. Cambridge: Cambridge University Press.
- Scott, James C. 1985. *Weapons of the weak: Everyday forms of peasant resistance*. New Haven: Yale University Press.
- Scott, James C. 1990. *Domination and the arts of resistance: Hidden transcripts*. New Haven: Yale University Press.
- Tilly, Charles. 2003. *The Politics of Collective Violence*. Cambridge: Cambridge University Press.
- Tilly, Charles, and Sidney Tarrow. 2015. *Contentious Politics*. New York: Oxford University Press.
- Tilly, Charles. 1978. *From Mobilization to Revolution*. New York: Random House. <https://deepblue.lib.umich.edu/bitstream/handle/2027.42/50931/156.pdf>
- Tilly, Charles. 2004. *Contention and Democracy in Europe, 1650-2000*. Cambridge: Cambridge University Press.

#### Week 6: Democracy and Democratization

##### Key topics:

- Defining democracy and democratic regimes
- Typologies of democracy
- Explaining regime change and democratization
- Democratic transitions and consolidation

##### Preparatory reading for the lecture of 8<sup>th</sup> October:

- KN&JVD: Chapters 2 - States and democracy - and 3 - Democratic change and persistence; or
- DC: Chapter 5 - Democracies (A. Pérez-Liñán)

##### Additional (optional) recommended readings to learn more:

- Baliev, Mikhail. 2006, "Presidential Powers and Consolidation of New Postcommunist Democracies." *Comparative Political Studies* 39(3): 375-398. <https://doi.org/10.1177%2F0010414005283665>
- Barber, Benjamin. 2004. *Strong democracy*, University of California Press.
- Dahl, Robert A. 1971. *Polyarchy: Participation and Opposition*, Yale University Press.

- Dahl, Robert A. 1989. *Democracy and Its Critics*, Yale University Press.
- Diamond, Larry and Leonardo Morlino. 2004. "The Quality of Democracy: An Overview", *Journal of Democracy*, 15(4): 20-31. <https://doi.org/10.1353/jod.2004.0060>
- Haggard, Stephan and Robert R. Kaufman. 2016. "Democratization During the Third Wave". *Annual Review of Political Science* 19(1): 125-144. <https://doi.org/10.1146/annurev-polisci-042114-015137>
- Held, David. 2006. *Models of Democracy*, Polity Press.
- Huntington, Samuel. 1991. *The Third Wave: Democratization in the Late Twentieth Century*. University of Oklahoma Press.
- Kuran, Timur. 1991. "Now Out of Never. The Element of Surprise in the East European Revolution of 1989.", *World Politics*, 44(1): 7-48. <https://doi.org/10.2307/2010422>
- Linz, Juan, and Alfred Stepan. 1996. *Problems of Democratic Transition and Consolidation: Southern Europe, South America, and Post-Communist Europe*. Johns Hopkins University Press.
- Power, Tim and Mark Gasiorowski. 1998. "The Structural Determinants of Democratic Consolidation." *Comparative Political Studies* 31(6): 740-772. <https://doi.org/10.1177%2F0010414098031006003>
- Przeworski, Adam. 2016. "Democracy: A Never-Ending Quest", *Annual Review of Political Science* 19(1): 1-12. <https://doi.org/10.1146/annurev-polisci-021113-122919>
- Rueschemeyer, Dietrich, Evelyne Huber Stephens, and John Stephens. 1992. *Capitalist Development and Democracy*. University of Chicago Press.
- Schattschneider, E.E. 1960. *The Semi-Sovereign People*. Dreyden Press.
- Schumpeter, Joseph A. 2003 [1943]. *Capitalism, socialism and democracy*. Routledge.
- Svobik, Milan. 2008. "Authoritarian Reversals and Democratic Consolidation." *American Political Science Review* 102(2): 153-168. <https://doi.org/10.1017/S0003055408080143>
- Tocqueville, Alexis de (Several editions in English [1835 et 1840]). *Democracy in America* (vols. 1 and 2). Available online here: <https://www.gutenberg.org/ebooks/815> and <https://www.gutenberg.org/ebooks/816>

#### Week 7: Authoritarianism and democratic breakdown

##### Key topics:

- Definitions and types of authoritarianism and authoritarian regimes
- Democratic breakdown
- Causes of authoritarian emergence and persistence
- The in-betweeners : hybrid and semi-authoritarian regimes, and blurred distinctions

##### Preparatory reading for the lecture of 15<sup>th</sup> October:

- DC: Chapter 6 – Authoritarian regimes (P. Brooker), or
- Entries 'Authoritarian regimes', 'Totalitarian regimes' and 'Totalitarianism' in Badie, Berg-Schlosser & Morlino (eds.), *International Encyclopaedia of Political Science*. (Online access through Sciences Po Library here: <http://sk.sagepub.com/reference/intlpoliticalscience>).

##### Additional (optional) recommended readings to learn more:

- Acemoglu, Daron and James Robinson. 2006. *Economic origins of dictatorship and democracy*. Cambridge University Press.
- Arendt, Hannah. 1951. *The Origins of Totalitarianism*. New York: Schocken.
- Bermeo, Nancy. 2003. *Ordinary People in Extraordinary Times: The Citizenry and the Breakdown of Democracy*. Princeton University Press.
- Brownlee, Jason. 2007. *Authoritarianism in an Age of Democratization*. Cambridge University Press.

- Capoccia, Giovanni. 2005. *Defending Democracy. Reactions to Extremism in Interwar Europe*. Johns Hopkins University Press.
- Haber, Stephen. 2006. "Authoritarian government", in Barry Weingast and Donald Wittman (eds.), *The Oxford Handbook of Political Economy*. Oxford University Press, pp. 693-707.
- Levitsky, Steven and Lucan Way. 2011. *Competitive Authoritarianism*. Cambridge University Press.
- Linz, Juan. 1978. *The Breakdown of Democratic Regimes. Crisis, Breakdown and Re-equilibration*. Johns Hopkins University Press.
- Gandhi, Jennifer. 2008. *Political Institutions under Dictatorship*. Cambridge University Press.
- Gandhi, Jennifer & Lust-Okar, Ellen. 2009. "Elections under Authoritarianism." *Annual Review of Political Science*, 12: 403-422. <https://doi.org/10.1146/annurev.polisci.11.060106.095434>
- Geddes, Barbara, Erica Frantz, Joseph G. Wright. 2014. "Military Rule", *Annual Review of Political Science*, 17: 147-162. <https://doi.org/10.1146/annurev-polisci-032211-213418>
- Magaloni, Beatriz. 2008. "Credible power-sharing and the longevity of authoritarian rule". *Comparative Political Studies*, 41(4-5): 715-741. <https://doi.org/10.1177%2F0010414007313124>
- Magaloni, Beatriz. 2006. *Voting for Autocracy: Hegemonic Party Survival and its Demise in Mexico*. Cambridge University Press.
- Waldner, David and Ellen Lust. 2018. "Unwelcome Change: Coming to Terms with Democratic Backsliding", *Annual Review of Political Science* 21: 93-113. <https://doi.org/10.1146/annurev-polisci-050517-114628>
- Wintrobe, Ronald. 2009. "Dictatorship: Analytical Approaches", in Carles Boix and Susan C. Stokes (eds.), *The Oxford Handbook of Comparative Politics*, Oxford University Press, pp. 363-394. <https://doi.org/10.1093/oxfordhb/9780199566020.003.0016>

### Block 3: The Comparative Analysis of Political Institutions, Actors and Processes

#### **Week 8: The Comparative Analysis of Political Institutions**

##### Key topics:

- Majoritarian versus consensual democracies (Lijphart)
- Veto players (Tsebelis)
- New institutionalism
- Varieties of institutionalism

##### Preparatory reading for the lecture of 22<sup>nd</sup> October:

- KN&JVD: Chapters 4 (pp. 87-91), 7 (pp. 150-152) and 13 (pp. 291-293); and
- Chapters 36 (Jean Blondel) and 38 (Klaus von Beyme) in R.A.W. Rhodes, Sarah A. Binder, Bert A. Rockman (eds.), *The Oxford Handbook of Political Institutions*, Oxford University Press. <https://www-oxfordhandbooks-com.acces-distant.sciences-po.fr/view/10.1093/oxfordhb/9780199548460.001.0001/oxfordhb-9780199548460>

##### Additional (optional) recommended readings to learn more:

- Hall, Peter and Rosemary Taylor. 1996. "Political Science and the Three new Institutionalisms", *Political Studies* 44: 936-958. <https://doi.org/10.1111/j.1467-9248.1996.tb00343.x>
- Lijphart, Arend. 2012. *Patterns of Democracy. Government Forms and Performance in Thirty-Six Countries*, Yale University Press.
- Steinmo, Sven, Kathleen Thelen and Frank Lngstreth (eds). 1992. *Structuring Politics: Historical Institutionalism in Comparative Analysis*. Cambridge University Press.
- Tsebelis, George. 2011. *Veto Players. How Political Institutions Work*, Princeton University Press.

- Tsebelis, George. 1995. "Decision Making in Political Systems: Veto Players in Presidentialism, Parliamentarism, Multicameralism and Multipartism", *British Journal of Political Science* 25(3): 289-325. <https://www.jstor.org/stable/194257>
- Tsebelis, George. "Veto Players and Institutional Analysis", *Governance* 13: 441-474. <https://doi.org/10.1111/0952-1895.00141>
- Thelen, Kathleen. 1999. "Historical Institutionalism in Comparative Politics", *Annual Review of Political Science*, 2: 369-404. <https://doi.org/10.1146/annurev.polisci.2.1.369>

**Week 9: The comparative analysis of interest formation and articulation**

Key topics:

- Political culture
- Political socialization
- Socio-economic positions, interests and cleavages
- Political behaviour
- Exit, voice and loyalty
- Social movements and interest groups
- The role of the mass media

Preparatory reading for the lecture of 5<sup>th</sup> November:

- KN&JVD: Chapters 9 - Political attitudes and behaviour -, 10 - Pressure groups and social movements -, and 11 - The mass media; or
- DC: Chapters 17 - Political culture (Welzel & Inglehart) -, 18 - Political participation (Kitschelt & Rehm), 14 - Interest groups (Erne) -, and 16 - Social movements (Kriesi).

Additional (optional) recommended readings to learn more:

- Baumgartner, Frank R., and Beth L. Leech. 1998. *Basic interests: the importance of groups in politics and political science*. Princeton: Princeton University Press.
- Dalton, Russell J. 2014. *Citizen Politics. Public Opinion and political parties in advanced western democracies*. 6th ed. CQ Press.
- Hall, Peter A. and David Soskice. 2003. *Varieties of Capitalism: The Institutional Foundations of Comparative Advantage*. Oxford University Press.
- Hirschman, Albert O. 1969. *Exit, voice and loyalty*, Harvard University Press.
- Inglehart, Ronald. 1990. *Culture Shift in advanced industrial society*. Princeton: Princeton University Press.
- Jordan, Grant, and William Maloney. 1997. *The protest business? Mobilizing campaign groups*. Manchester: Manchester University Press.
- Kriesi, Hanspeter, Ruud Koopmans, Jan W. Duyvendak, and Marco Giugni. 1992. "New social movements and political opportunities in Western Europe." *European Journal of Political Research* 22: 219-244. <https://doi.org/10.1111/j.1475-6765.1992.tb00312.x>
- Lipset, Seymour M. & Stein Rokkan, 1967, "Cleavage Structures, Party Systems and Voter Alignments: An Introduction", in Lipset & Rokkan (eds.), *Party Systems and Voter Alignments*, Free Press. Available online here: <http://www.u.arizona.edu/~mishler/LipsetRokkan.pdf>
- Moe, Terry M. 1980. *The organization of interests. Incentives and the internal dynamics of political interest groups*. Chicago: University of Chicago Press.
- Olson, Mancur. 1965. *The Logic of Collective Action*, Harvard University Press.
- Putnam, Robert. 2000. *Bowling alone. The collapse and revival of American community*, Simon and Schuster.
- Rothenberg, Lawrence S. 1989. "Putting the puzzle together: why people join interest groups?" *Public Choice* 60: 241-257. <https://doi.org/10.1007/BF00159396>
- Schattschneider, E. E. 1960. *The semisovereign people*. Hinsdale: Dryden Press.

- Snow, David A., Sarah A. Soule, and Hanspeter Kriesi, eds. 2004. *The Blackwell companion to social movements*. London: Wiley-Blackwell.
- Tarrow, Sidney. 1994. *Power in Movement: social movements, collective action and mass politics in the modern state*. Cambridge: Cambridge University Press.
- Tilly, Charles and Tarrow, Sidney. 2006. *Contentious Politics*, Paradigm Publishers.
- Truman, David B. 1951. *The governmental process: political interests and public opinion*. New York: Alfred A. Knopf.

**Week 10: The Comparative Analysis of Interest Aggregation and Representation**

Key topics:

- Political parties: emergence, functions and types
- Elections and referendums
- Electoral systems and party systems
- Political clientelism
- Political elites and leadership
- The crisis of democratic representation

Preparatory reading for the lecture of 12<sup>th</sup> November:

- KN&JVD: Chapters 13 - Party government -, and 12 - Voters and elections; or
- DC: Chapters 12 - Political parties (Katz) -, 18 - Party systems (Caramani), and 10 - Elections and referendums (Gallagher).

Additional (optional) recommended readings to learn more:

- Ahlquist, John S. and Margaret Levi. 2011. "Leadership: What It Means, What It Does, and What We Want to Know About It", *Annual Review of Political Science*, 14: 1-24.  
<https://doi.org/10.1146/annurev-polisci-042409-152654>
- Aldrich, John H. 1995. *Why Parties? The Origin and Transformation of Political Parties in America*. University of Chicago Press.
- Anderson, Christopher J., André Blais, Shaun Bowler, Todd Donovan and Ola Listhaug. 2005. *Losers' Consent: Elections and Democratic Legitimacy*. Oxford University Press.
- Boix, Carles. 1999. "Setting the rules of the game: the choice of electoral systems in advanced democracies", *American Political Science Review*, 93(3): 609-624.  
<https://www.jstor.org/stable/2585577>
- Bourdieu, Pierre. 1981. "La représentation politique", *Actes de la recherche en sciences sociales*, vol. 36(1): 3-24. (Partial translation in Chapter 8 "Political Representation: Elements for a Theory of the Political Field" in John B. Thompson (Ed.). 1991. *Language and Symbolic Power*. Pierre Bourdieu, Polity Press.)
- Campbell, Angus, Converse, Philip E., Miller, Warren E., and Stokes, Donald E. 1960. *The American voter*, University of Chicago Press.
- Cox, Gary W. 1997. *Making Votes Count: Strategic Coordination in the World's Electoral Systems*, Cambridge University Press.
- Downs, Anthony. 1957. *An economic theory of democracy*, Harper & Row.
- Duverger, Maurice. (Several editions in English [1951]), *Political Parties. Their Organization and Activity in the Modern State*. (French edition: *Les partis politiques*, Paris, Seuil, 1992 [1951].)
- Manin, Bernard. 1997. *The Principles of Representative Government*, Cambridge University Press. (French edition: *Principes du gouvernement représentatif*, Calmann Lévy, 2012 [1995].)
- Müller, Wolfgang C. and Kaare Strøm. 1999. *Policy, Office or Votes? How Political Parties in Western Europe Make Hard Decisions*. Cambridge University Press.
- Phillips, Anne. 1995. *The Politics of Presence: The Political Representation of Gender, Ethnicity and Race*. Oxford University Press.

- Pitkin, Hanna F., 1967, *The Concept of Representation*, University of California Press.
- Powell, G. Bingham. 2000. *Elections as Instruments of Democracy: Majoritarian and Proportional Visions*. Yale University Press.
- Stokes, Susan. 2007. "Political clientelism." In *The Oxford handbook of comparative politics*, edited by Carles Boix and Susan Stokes, 604-627. New York: Oxford University Press.
- Taagepera, Rein, and Matthew Soberg Shugart. 1989. *Seats and Votes. The Effects and Determinants of Electoral Systems*. New Haven: Yale University Press.

**Week 11: The Comparative Analysis of Interest Processing**

Key topics:

- Separation of powers? Comparative constitutions
- Comparing Executives
- Comparing Legislatures
- Comparative Executive-Legislative relations
- Multilevel governance, territorial organisation and federalism
- Public bureaucracies and public policies
- Corruption
- Accountability

Preparatory reading for the lecture of 19<sup>th</sup> November:

- KN&JVD: Chapters 4 - Constitutions -, 5 - Presidential and parliamentary government -, 7 - Policy making and legislating: executives and legislatures -, 6 - Multilevel government: international, national and subnational -, 8 - Implementation: the public bureaucracy -, 15 - Decision making -, and 12 - Public spending and public policies; or
- DC: Chapters 9 - Constitutions, rights and judicial power (Stone Sweet) -, 8 - Governments and bureaucracies (Müller) -, 7 - Legislatures (Kreppel) -, 11 - Federal and local government institutions (Loughlin) -, 20 - Policy making (Knill & Tosun) -, and 21 - The welfare state (van Kersbergen & Manow).

Additional (optional) recommended readings to learn more:

- Beramendi, Pablo. 2007. "Federalism" in Carles Boix and Susan C. Stokes (eds.), *Oxford Handbook of Comparative Politics*, Oxford University Press, pp. 752-781.
- Chang, Eric C.C. and Miriam A. Golden. 2007. "Electoral systems, district magnitude and corruption", *British Journal of Political Science*, 37(1): 115-137. <https://doi.org/10.1017/S0007123407000063>
- Esping-Andersen, Gøsta. 1990. *The Three Worlds of Welfare Capitalism*. Princeton University Press.
- Laver, Michael and Kenneth A. Shepsle. 1990. "Coalitions and Cabinet Government", *American Political Science Review*, 84(3): 873-890. <http://www.jstor.org/stable/1962770>
- Laver, Michael and Kenneth A. Shepsle. 1996. *Making and Breaking Governments. Cabinets and Legislatures in Parliamentary Democracies*. Cambridge University Press.
- Laver, Michael and Norman Schofield. 1998. *Multiparty Government: The Politics of Coalition in Europe*. University of Michigan Press.
- Linz, Juan J. 1994. "Presidential or parliamentary democracy: does it make a difference?" in Juan J. Linz and Arturo Valenzuela (eds.), *The Failure of Presidential Democracy: Comparative Perspectives*. Johns Hopkins University Press, pp. 3-88.
- Mainwaring, Scott and Matthew S. Shugart. 1997. "Juan Linz, Presidentialism, and Democracy: A Critical Appraisal", *Comparative Politics*, 29(4): 449-471. <http://www.jstor.org/stable/422014>
- Manin, Bernard, Adam Przeworski, and Susan C. Stokes (eds). 1999. *Democracy, Accountability and Representation*. Cambridge: Cambridge University Press.

- Martin, Shane, Thomas Saalfeld and Kaare Strøm (eds.). 2014. *Oxford Handbook of Legislative Studies*. Oxford University Press.
- Ostrom, Elinor. 1990. *Governing the commons*, Cambridge University Press.
- Peters, B. Guy. 2015. *Advanced Introduction to Public Policy*. Edward Elgar Publishing.
- Strøm, Kaare. 2000. "Delegation and accountability in parliamentary democracies", *European Journal of Political Research*, 37(3): 261-28. <https://doi.org/10.1111/1475-6765.00513>
- Strøm, Kaare, Wolfgang C. Müller and Torbjörn Bergman (eds.). 2003. *Delegation and Accountability in Parliamentary Democracies*, Oxford University Press.
- Strøm, Kaare, Wolfgang C. Müller and Torbjörn Bergman (eds.). 2010. *Cabinets and Coalition Bargaining. The Democratic Life Cycle in Western Europe*, Oxford University Press.

### Week 12: Diversity in Comparative Political Analysis

#### Key topics:

- Quantitative versus qualitative versus mixed methods
- Many versus few cases
- Innovations: (fs)QCA, process tracing, multilevel analysis

#### Preparatory reading for the lecture of 26<sup>th</sup> November:

- KN&JVD: Postscript - How and what to compare -; or
- DC: Chapter 3 - Comparative research methods (Keman & Pennings).

#### Additional (optional) recommended readings to learn more:

- Almond, Gabriel. 1990. *A Discipline Divided. Schools and Sects in Political Science*. Sage.
- Campbell, Donald T. 1975. "Degrees of freedom and the case study", *Comparative Political Studies*, 8: 178-193. <https://doi.org/10.1177%2F001041407500800204>
- Collier, David. 1991. "The comparative method: two decades of change" in Dankwart Rustow and Kenneth Erickson (eds.), *Comparative Political Dynamics: Global Research Perspectives*, Harper Collins, pp. 7-31.
- Coppedge, Michael. 1999. "Thickening thin concepts and theories: Combining large N and small in comparative politics", *Comparative Politics*, 31(4): 465-476. <https://www.jstor.org/stable/422240>
- Dogan, Mattei. 1994. "Use and Misuse of Statistics in Comparative Research. Limits to Quantification in Comparative Politics: The Gap Between Substance and Method." In *Comparing Nations. Concepts, Strategies, Substance*, ed. Mattei Dogan and Ali Kazancigil. Oxford: Basil Blackwell.
- Gerring, John. 2004. "What is a case study and what is it good for?", *American Political Science Review*, 98(2): 341-354. <https://www.jstor.org/stable/4145316>
- Gerring, John. 2007. *Case Study Research: Principles and Practices*, Cambridge University Press.
- Jackman, Robert W. 1985. "Cross-National Statistical Research and the Study of Comparative Politics." *American Journal of Political Science* 29(1): 161-82.
- Ragin, Charles C. 1987. *The comparative method. Moving beyond qualitative and quantitative strategies*. Berkeley: University of California Press.
- Ragin, Charles C., and Howard S. Becker. 1992. *What is a case? Exploring the foundations of Social Inquiry*. Cambridge: Cambridge University Press.
- Ragin, Charles C. 2000. *Fuzzy-Set Social Science*. Chicago: The University of Chicago Press.
- Yin, Robert K. 1994. *Case Study Research: Design and Methods*. Sage.